

4-15-02

2812 #

Please type a plus sign (+) inside this box → ☐PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/882,534
	<b>Filing Date</b>	June 14, 2001
	<b>First Named Inventor</b>	Garo J. Derderian
	<b>Group Art Unit</b>	2812
	<b>Examiner Name</b>	T. Thomas
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> MI22-1752

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	PTO-1449
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	A \$180.00 check
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		Copy of 10/16/2001 IDS and PTO-1449
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<b>Remarks</b>	<b>Customer No.</b> 021567	
The Commissioner is hereby authorized to charge any additional fees required under 37 C.F.R. § 1.16 and § 1.17 and credit any overpayments to account no. 23-0925.		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual name</b>	James E. Lake; Reg. No. 44,854; Wells St. John P.S.
<b>Signature</b>	<i>James E. Lake</i>
<b>Date</b>	11 Apr 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 4/12/02			
<b>Typed or printed name</b>	Jane E. Boone	<b>Date</b>	4/12/02
<b>Signature</b>	<i>Jane E. Boone</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$180.00

## Complete if Known

Application Number 09/882,534  
Filing Date June 14, 2001  
First Named Inventor Garo J. Derderian  
Examiner Name T. Thomas  
Group / Art Unit 2812  
Attorney Docket No. MI22-1752

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 23-0925

Deposit Account Name Wells St. John P.S.

- ☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid	
101	690	201	345	Utility filing fee	0.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
0	-20**	0	0
0	-3**	0	0
0		0	0

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid	
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim, if not paid	
109	78	209	39	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0.00

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath	0.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	0.00
139	130	139	130	Non-English specification	0.00
147	2,520	147	2,520	For filing a request for reexamination	0.00
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	0.00
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	0.00
115	110	215	55	Extension for reply within first month	0.00
116	380	216	190	Extension for reply within second month	0.00
117	870	217	435	Extension for reply within third month	0.00
118	1,360	218	680	Extension for reply within fourth month	0.00
128	1,850	228	925	Extension for reply within fifth month	0.00
119	300	219	150	Notice of Appeal	0.00
120	300	220	150	Filing a brief in support of an appeal	0.00
121	260	221	130	Request for oral hearing	0.00
138	1,510	138	1,510	Petition to institute a public use proceeding	0.00
140	110	240	55	Petition to revive - unavoidable	0.00
141	1,210	241	605	Petition to revive - unintentional	0.00
142	1,210	242	605	Utility issue fee (or reissue)	0.00
143	430	243	215	Design issue fee	0.00
144	580	244	290	Plant issue fee	0.00
122	130	122	130	Petitions to the Commissioner	0.00
123	50	123	50	Petitions related to provisional applications	0.00
126	240	126	240	Submission of Information Disclosure Stmt	180.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	0.00
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
				Other fee (specify)	0.00
				Other fee (specify)	0.00

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00

### SUBMITTED BY

Name (Print/Type) James E. Lake

Signature

Registration No. (Attorney/Agent)

44,854

### Complete (if applicable)

Telephone 509-624-4276

Date

11 Apr 2002

### WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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#7 MBS  
D. D. W.  
4-23-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/882,534  
Filing Date June 14, 2001  
Inventor Garo J. Derderian, et al  
Assignee Micron Technology, Inc.  
Group Art Unit 2812  
Examiner T. Thomas  
Attorney's Docket No. MI22-1752  
Title: Capacitor Fabrication Methods and Capacitor Constructions

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

References -- See Attached Form PTO-1449

Assistant Commissioner for Patents  
Art Unit 2812  
Washington, D.C. 20231

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APR 17 2002  
TECHNOLOGY CENTER 2800

04/16/2002 MABDI1 00000010 09882534

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180.00 OP

**REMARKS**

In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, your attention is directed to the United States patents and other references listed on the attached Form PTO-1449. No admission is made regarding whether all the submitted references are prior art.

The listed references were cited by, or submitted to, the Office in the parent, co-pending application of the above-identified application. The above-identified application is divisional application of co-pending application Serial No. 09/652,532, filed August 31, 2000. Such prior disclosure is sufficient for the above-identified application as far as copies of the references are concerned. 37 C.F.R. § 1.98(d) and MPEP § 609(2).

Further, Applicant herewith submits a copy of a Supplemental Information Disclosure Statement and Form PTO-1449 of which it does not yet have an initialed copy from the Examiner. This Supplemental Information Disclosure Statement was initially submitted to the U.S. Patent

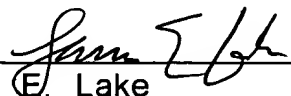
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and Trademark Office on October 16, 2001. To the extent the PTO-1449 has not already been initialed in the file, such examination and initialing is requested at this time, and returning of a copy to the undersigned.

Citation of these references is respectfully requested.

Respectfully submitted,

Date: 11 Apr 2002

By:   
James E. Lake  
Reg. No. 44,854



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. .... 09/882,534  
Filing Date ..... June 14, 2001  
Inventor ..... Garo J. Derderian, et al  
Assignee ..... Micron Technology, Inc.  
Group Art Unit ..... 2812  
Examiner ..... Unknown  
Attorney's Docket No. .... MI22-1752  
Title: Capacitor Fabrication Methods and Capacitor Constructions

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

References -- See Attached Form PTO-1449

Assistant Commissioner for Patents  
Washington, D.C. 20231

**REMARKS**

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Citation of these references is respectfully requested.

Respectfully submitted,

Date: 16 Oct 2001

By: \_\_\_\_\_

James E. Lake  
Reg. No. 44,854